



Gingü

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|--|----------------------|---------------------|
| Request FOR Continued Examination (RCE) TRANSMITTAL Address to: Mail Stop RCE Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 | Application Number | 09/913,781 |
| | Filing Date | June 12, 2002 |
| | First Named Inventor | Vincenzo Vassarotti |
| | Art Unit | 1723 |
| | Examiner Name | Menon, Krishnan S. |
| | Attorney Docket No. | 8199.0012 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. **Submission required under 37 CFR. 1.114**
- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s) / reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavits(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**
- a. ☐ Suspension of action on the above identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(h) required)
- b. ☒ Other Certificate of Mailing by Express Mail No. EV307269974US

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments to Deposit Account No. 05-1330
- i. ☐ RCE fee required under 37 CFR 1.17(e).
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$770.00 enclosed.
- c. ☐ Payment by credit card. (Form PTO-2038 enclosed.)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

| | | | |
|-------------------|-------------------|------------------|------------|
| Name (Print/Type) | Dennis E. Stenzel | Registration No. | 28,763 |
| Signature | | Date | Mar 29 '04 |

CERTIFICATE OF MAILING OR TRANSMISSION

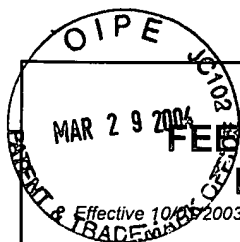
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

| | |
|-------------------|-------------------|
| Name (Print/Type) | Dennis E. Stenzel |
| Signature | |
| Date | Mar 29 '04 |

04/01/2004 RHEBRAHT 00000109 09913781

770.00 0P

01 FC:1801



FEE TRANSMITTAL For FY 2004

Effective 10/1/2003. Patent fees are subject to annual revision.

Complete if Known

| | |
|------------------------|---------------------|
| Application Number | 09/913,781 |
| Filing Date | June 12, 2002 |
| First Named Inventor | Vincenzo Vassarotti |
| Examiner Name | Menon, Krishnan S. |
| Art Unit | 1723 |
| Attorney Docket Number | 8199.0012 |

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 03-1550

Deposit Account Name: Chernoff Vilhauer McClung & Stenzel

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing Fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from Below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | -20** = | X 18/9 = | |
| Independent Claims | -3** = | X 86/93 = | |
| Multiple Dependent | | 290/145 = | |

| Large Entity | | Small Entity | | Fee Descriptions |
|--------------|----------|--------------|----------|--|
| Code | Fee (\$) | Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent. |
| SUBTOTAL (2) | | | | (\$) |

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2542 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | \$770 |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |
| SUBTOTAL (3) | | | | | (\$ 770) |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 770)

SUBMITTED BY

(Complete (if applicable))

| | | | | | |
|-------------------|-------------------|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | Dennis E. Stenzel | Registration No. (Attorney/Agent) | 28,763 | Telephone | (503) 227-5631 |
| Signature | | Date | Mar 29 '04 | | |

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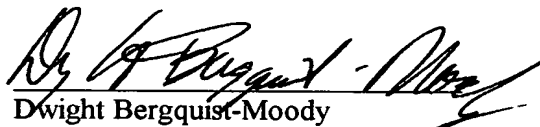


CERTIFICATE OF MAILING BY
"EXPRESS MAIL"

Express Mail No.: EV307269974US

Date of Deposit: March 29, 2004

I hereby certify that the REQUEST FOR CONTINUED EXAMINATION attached hereto for the patent application entitled DEVICE FOR CONCENTRATING AND PURIFYING MACROMOLECULES, Vincenzo Vassarotti, inventor, Serial No. 09/913,781, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to MAIL STOP RCE, Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450.



Dwight Bergquist-Moody